This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problems Mailbox.

THIS PAGE BLANK (USPTO)





11 Publication number: 0 622 343 A2

(12)

EUROPEAN PATENT APPLICATION

(21) Application number: 94302596.5

(51) Int. CI.5: C03C 21/00, C03C 25/00

(22) Date of filing: 13.04.94

(30) Priority: 30.04.93 US 56329

(43) Date of publication of application : 02.11.94 Bulletin 94/44

84 Designated Contracting States : DE FR GB IT

(1) Applicant: AT & T Corp. 32 Avenue of the Americas New York, NY 10013-2412 (US) 72 Inventor: Atkins, Robert Michael
103 Division Avenue
Millington, New Jersey 07946 (US)
Inventor: Lemaire, Paul Joseph
18 Ferndale Road
Madison, New Jersey 07940 (US)
Inventor: Mizrahi, Victor
412 Cardinal Lane
Bedminster, New Jersey 07921 (US)
Inventor: Walker, Kenneth Lee
1003 Central Avenue
New Providence, New Jersey 07974 (US)

(74) Representative: Johnston, Kenneth Graham et al
 AT&T (UK) Ltd.
 5 Mornington Road
 Woodford Green Essex, IG8 OTU (GB)

- (54) Method for increasing the index of refraction of a glassy material.
- In accordance with the invention, the index of refraction of a glassy material is increased by treating the material with hydrogen and applying heat. Specifically, the glass is exposed to hydrogen or deuterium at pressure in the range 14-11,000 p.s.i. and a temperature in the range 21-150°C for a time sufficient for the hydrogen to diffuse into the glass. The glass is then subjected to heat in excess of about 500°C, as by application of a flame or infrared radiation. The duration of heating can be less than a second. The result is a substantial and longlived increase in the normalized refractive index. For example, flame heating of H2 loaded commercial GeO₂ doped optical fibers (AT&T Accutether single mode fiber) has produced normalized index changes $\Delta n/n$ of $4x10^{-3}$. This process can be used to make and adjust a variety of optical waveguide devices.

FP 0 622 343 A2

5

15

20

25.

Field of the Invention

This invention relates to a method for increasing the index of refraction of a glassy material. It is particularly useful in the fabrication of optical devices such as optical waveguides, gratings and lasers.

Background of the Invention

It is known that in-line optical fiber gratings can be formed by applying two interfering beams of ultraviolet radiation to a glass waveguide structure. See, for example, United States Patents No. 4,807,950 and 4,725,110 which are incorporated herein by reference. Typically these gratings are formed in Gedoped optical fiber.

The present applicants have discovered and disclosed in the aforementioned parent applications that the index-changing effect of actinic (UV) radiation can be enhanced by treating the glass with hydrogen or deuterium.

The present application is predicated upon the further discovery that in hydrogen or deuterium treated glass (hereinafter generically referred to as hydrogen-treated glass), the index of refraction can be increased not only by actinic radiation, but also by the application of heat.

Summary of the Invention

The index of refraction of a glassy material is increased by treating the material with hydrogen and applying heat. Specifically, the glass is exposed to hydrogen or deuterium at pressure in the range 14-11,000 p.s.i. and a temperature in the range 21-150°C for a time sufficient for the hydrogen to diffuse into the glass. The glass is then subjected to heat in excess of about 500°C, as by application of a flame or infrared radiation. The duration of heating is preferably a second or less. The result is a substantial and long-lived increase in the normalized refractive index. For example, flame heating of H₂ loaded commercial GeO₂ doped optical fibers (AT&T Accutether single mode fiber) has produced normalized index changes $\Delta n/n$ of 4x 10⁻³. This process can be used to make and adjust a variety of optical waveguide devices.

Brief Description of the Drawings

In the drawings:

FIG. 1 is a block diagram showing the steps of an exemplary process for increasing the index of refraction of a glassy material;

FIGs. 2 and 3 illustrate glass bodies upon which the process can be used; and

FIGs. 4 and 5 are graphical illustrations demonstrating the effect of the process in altering the index of refraction.

Detailed Description

Referring to the drawings, FIG. 1 illustrates the steps of an exemplary process for increasing the index of refraction of a glassy material.

As shown in FIG. 1A, the first step is to provide a body of glass. The body can be any of a wide variety of forms. Forms particularly preferred for this process are illustrated in FIGs. 2 and 3. They include optical fiber and substrate supported thin layers for planar optical waveguide devices. Preferably the glass is a transparent glass doped with germanium such as GeO₂ doped silica. However, the method has been found to work in other types of transparent glass such as P-doped silica and P-A1 doped silica. Typical GeO₂ concentrations in silica are in the range 3-20 mole % GeO₂, with higher index changes observed for higher GeO₂ concentrations.

The next step shown in FIG. 18 is to diffuse hydrogen into the glass body at least in those regions whose refractive index is to be increased. Preferably this diffusion is effected by exposing the body to hydrogen (or deuterium) gas at a pressure in the range 14-11,000 p.s.i. Advantageously, the diffusion takes place at low to moderate temperatures in the range 21-150°C.

Diffusion times depend on the temperature and the thickness of the glass to be loaded with hydrogen. Typical diffusion times for standard sized optical fibers are about 12 days at 21°C or about 10 hours at 100°C. More generally, the time required for the $\rm H_2$ loading of a fiber of radius r is proportional to $\rm r^2$ and inversely proportional to the diffusivity of $\rm H_2$ in the glass.

In GeO₂ doped glass the amount (mole %) of H₂ loaded is believed optimally proportional to the mole % of GeO₂ dopant. Typical GeO₂ amounts in silica are on the order of 3-20 mole percent. Accordingly, the H₂ levels needed to achieve maximum An's will vary proportionally. Since the heating induced reactions usually occur only at localized portions of the glass body. it is possible to make use of H2 that can diffuse from surrounding areas. For example, for a single mode fiber a significant amount of H2 can diffuse from the cladding material into the GeO2 doped core, thus permitting maximum index changes to be achieved in the core even though the H2 concentration may be less than the GeO₂ concentration. It is believed that the pertinent reaction involves one H atom per Ge atom. In fabrication, the amount of loaded hydrogen at equilibrium varies jointly with the pressure of hydrogen and jointly with an exponential factor exp

$$\left[\frac{2.07 \text{ Kcal/mole}}{\text{RT}}\right].$$

45

10

20

30 ̂

35

40

45

50

The third step shown in FIG. 1C is to heat the hydrogen-loaded glass. Preferably the glass is heated rapidly to a temperature in excess of about 500°C. Low temperatures on the order of 300°C are ineffective and gradual heating at low temperatures (over several minutes rather than seconds) can cause the hydrogen to diffuse out of the glass without increasing the refractive index.

Any rapid heating method can be used to achieve an index change. Flame heating has been used with optical fibers, and radiative heating, as from a CO₂ laser, is advantageous for planar waveguide structures. The heating can be either general or local. Local heating, as by infrared radiation from a focused CO₂ laser, can define local regions of enhanced refractive index, thereby defining localized waveguiding structures or adjusting the path length of critical light guiding regions such as laser cavities. Alternatively fiber or waveguide structures can be locally heated at a plurality of periodically spaced points along the pathlength to define a grating structure.

The preferred application of the method is for increasing the refractive index of selected portions of glass fiber or a glass layer to form or modify optical? waveguide structures. An optical waveguide generally comprises an elongated glass structure including as core of relatively high refractive index glass and a cladding of lower refractive index glass at least party tially surrounding the core. The dimensions of the core are chosen and adapted for transmitting in the longitudinal direction electromagnetic radiation of optical wavelength typically in the range 0.4 to 1.7 micrometers. FIG. 2 illustrates such a waveguiding body: in the form of an optical fiber 10 having a central cylindrical core 11 surrounded by a concentric cladding 12. As shown, a convenient way of applying heat to a length of such a fiber is by passing it through a flame 13. Lagragatas rand dagorog dant GC data more sold

form, typically comprising a substrate 20, a thin cladding layer 21 disposed upon the substrate; a core glass layer 22 on the cladding and a top cladding layer 23. The basic structure of conventional planar waveguides is described in C. H. Henry et al., "Glass Waveguides on Silicon for Hybrid Optical Packaging", 7 J. Lightwave Technol., pp. 1530-39 (1989). Typically, all but a thin stripe of the core layer is etched away prior to application of the top cladding in order to define the waveguiding region, and while the inventive process can be used with such devices (as to define gratings), we have here shown the core glass as a planar layer to illustrate the use of the inventive process in defining waveguide patterns.

As shown, a convenient way to heat selected regions of a planar waveguide structure is to direct a laser 24, such as a CO₂ laser, to apply infrared radiation 25 onto selected portions 26 of the structure. Advantageously, one can apply the radiation to se-

lected portions defining a desired waveguide configuration. Thus, the waveguide configuration can be defined by selective heating of the layer 22 without etching. If very fine configurations are desired, a reflective mask such as a layer of gold (not shown) can be placed between the top cladding and the laser so that radiation for heating the core arrives selectively from open regions in the reflective mask.

The operation and applications of the method can be understood in greater detail by consideration of the following specific examples:

Example 1

An AT&T Accutether optical fiber was loaded with $\rm H_2$ to a concentration of about 1.9 mole % $\rm H_2$ and its refractive index profile was measured. A 64 mm length of the fiber was then heated by rapidly passing a small (3mm wide) flame over the fiber in about 12 s. The refractive index profile was again measured.

FIG. 6 is a graphical plot showing the measured index profiles. The dashed line is the profile before heating and the solid line is after heating. The resulting index change in the core glass was $\Delta n = 0.006$. It is noteworthy that the index of the outer P-F doped cladding material (11 << 20 μ m) also increased significantly during the heating, demonstrating that heat induced index changes can occur in glasses other than those doped with GeO₂.

Example 2

A length of similar fiber was loaded with 2.1 mole % D_2 and flame heated. The before and after heating refractive index profiles are shown in FIG. 6. The resultant index change for the core glass was $\Delta n = 0.0075$. This demonstrates that either H_2 or D_2 can be used to achieve index changes. The advantage of D_2 instead of H_2 is that D_2 avoids the formation of OH, and thus avoids optical losses due to OH absorption. H_2 , on the other hand, is cheaper than D_2 and it is easier to monitor by monitoring OH peak strength. Heating fibers which did not contain dissolved H_2 or D_2 did not cause measurable index changes.

Claims

 A method for increasing the index of refraction of selected portions of a body comprising glass comprising the steps of:

providing a body comprising glass;

diffusing hydrogen or deuterium into at least the portions of said glass whose refractive index is to be increased;

selectively heating said portions of said glass.

55

- The method of claim 1 wherein said portions are heated to a temperature in excess of about 500°C.
- 3. The method of claim 1 wherein said diffusing is effected by exposing said glass to said hydrogen or deuterium at a pressure in the range 14-11,000 p.s.i.
- 4. The method of claim 1 wherein said diffusing is effected by exposing said glass to said hydrogen or deuterium at a temperature in the range 21-150°C.
- 5. The method of claim 1 wherein said glass comprises silica doped with GeO₂.
- The method of claim 1 wherein said body comprising glass is an optical fiber.
- The method of claim 1 wherein said body comprising glass comprises a substrate supported planar layer of glass.
- The method of claim 1 wherein said portions of said glass are selectively heated by infrared radiation.
- 9. The method of claim 1 wherein said portions of said glass are selectively heated by flame.
- The method of claim 1 wherein said glass is silica doped with GeO₂ in the range 3-20 mole percent.

is 5

15

20

25

30

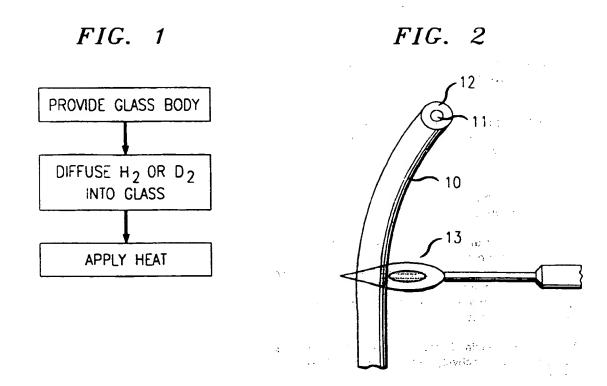
35

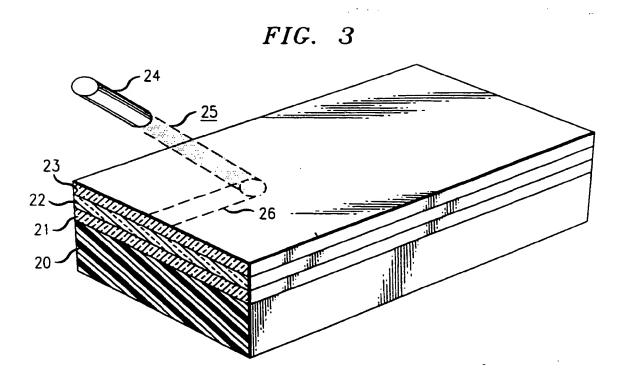
40

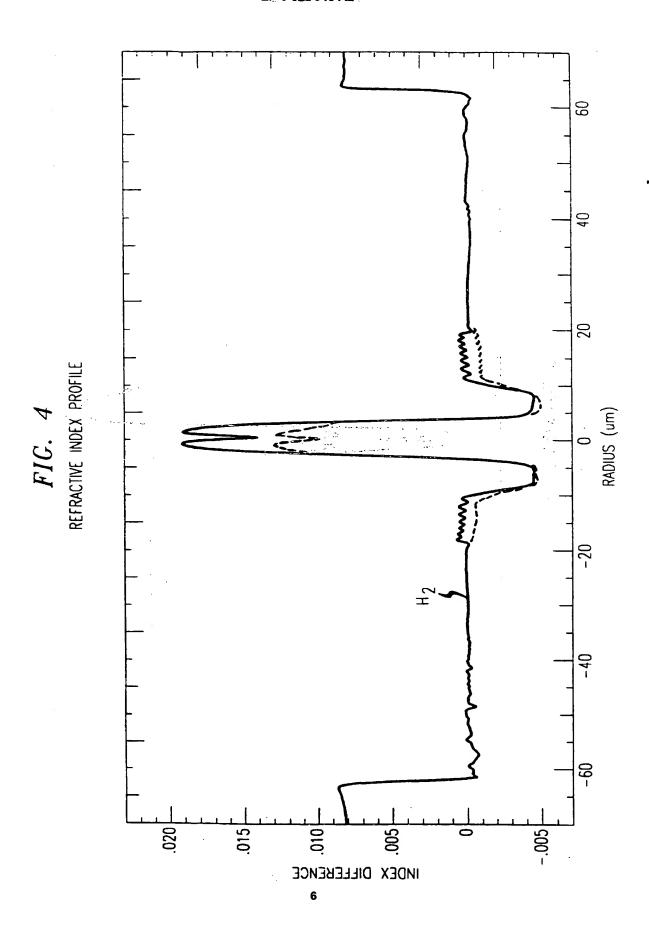
45

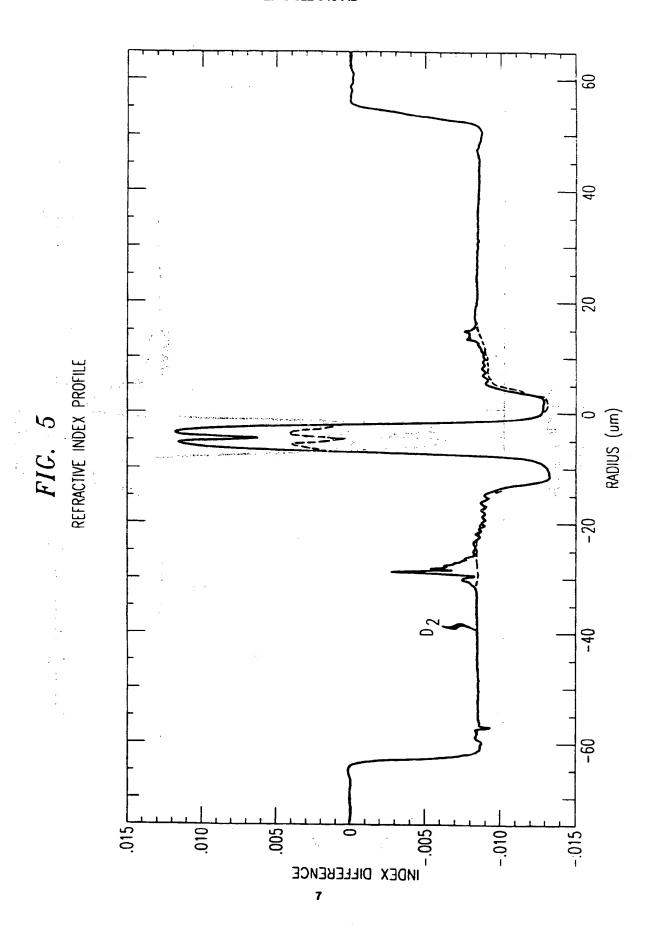
50

55









HIS PAGE BLANK (USPTO)

÷

111 14 14

TALL AND TALK THE STATE OF THE

BARBARA PROCES

, distribute 15 consulta

The more form to the control of the

£.



11) Publication number: 0 622 343 A3

12

EUROPEAN PATENT APPLICATION

(21) Application number: 94302596.5

(51) Int. Cl.⁵: C03C 21/00, C03C 25/00

(22) Date of filing: 13.04.94

(30) Priority: 30.04.93 US 56329

(3) Date of publication of application : 02.11.94 Bulletin 94/44

Designated Contracting States :
 DE FR GB IT

Bate of deferred publication of search report: 25.10.95 Bulletin 95/43

(1) Applicant: AT & T Corp. 32 Avenue of the Americas New York, NY 10013-2412 (US) 72 Inventor: Atkins, Robert Michael
103 Division Avenue
Millington, New Jersey 07946 (US)
Inventor: Lemaire, Paul Joseph
18 Ferndale Road
Madison, New Jersey 07940 (US)
Inventor: Mizrahi, Victor
412 Cardinal Lane
Bedminster, New Jersey 07921 (US)
Inventor: Walker, Kenneth Lee
1003 Central Avenue
New Providence, New Jersey 07974 (US)

(74) Representative : Johnston, Kenneth Graham et al
AT&T (UK) Ltd.
5 Mornington Road
Woodford Green Essex, IG8 OTU (GB)

- (54) Method for increasing the index of refraction of a glassy material.
- In accordance with the invention, the index of refraction of a glassy material is increased by treating the material with hydrogen and applying heat. Specifically, the glass is exposed to hydrogen or deuterium at pressure in the range 14-11,000 p.s.i. and a temperature in the range 21-150°C for a time sufficient for the hydrogen to diffuse into the glass. The glass is then subjected to heat in excess of about 500°C, as by application of a flame or infrared radiation. The duration of heating can be less than a second. The result is a substantial and longlived increase in the normalized refractive index. For example, flame heating of $\rm H_2$ loaded commercial $\rm GeO_2$ doped optical fibers (AT&T Accutether single mode fiber) has produced normalized index changes $\Delta n/n$ of 4×10^{-3} . This process can be used to make and adjust a variety of optical waveguide devices.

EP 0 622 343 A3



EUROPEAN SEARCH REPORT

EP 94 30 2596

Category	Citation of document with is	DERED TO BE RELEVAN' dication, where appropriate,	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.CL5)
X	PROC. SPIE - INT. SOC. OPT. ENG., INTERNATIONAL WORKSHOP ON PHOTOINDUCED SELF-ORGANIZATION EFFECTS IN OPTICAL FIBER, 11 MAY 1991, QUEBEC, CA, vol. 1516, 1992 US, pages 185-199, G. MELTZ ET AL * point 3.6 *		1-8,10	C03C21/00 C03C25/00
P,X	ELECTRONICS LETTERS vol. 29, no. 13, 24 pages 1191-1193, LEMAIRE P.J. ET AL * the whole documen	June 1993	1-10	·
P,X	ELECTRONICS LETTERS vol. 29, no. 14, 8 pages 1234-1235, ATKINS R.M. ET AL * the whole documen	July 1993	1-10	
Ρ,Χ	US-A-5 235 659 (ATK * the whole documen		1-10	TECHNICAL FIELDS SEARCHED (Int.Cl.5) CO3C GO2B
A	US-A-4 515 612 (BUR * the whole documen	.5 612 (BURRUS JR C.A. ET AL) le document *		GUZB
A D D	WO-A-86 01303 (UNITED TECHNOLOGIES CORP) * the whole document * & US-A-4 725 110 & US-A-4 807 950		1-10	
D,A	JOURNAL OF LIGHTWAV vol. 7, no. 10, Oct pages 1530-1539, C.H. HENRY ET AL * the whole documen	ober 1989 NEW YORK US,	1	
The present search report has been drawn up for all claims				
Place of search Date of completion of the courch			<u> </u>	Crystian
BERLIN 23 August 1995		23 August 1995	Kuehne, H-C	
X: particularly relevant if taken alone Y: particularly relevant if taken alone Y: particularly relevant if combined with another document of the same category A: technological background		in the application		

EPO PORM 1500 00.82 (POLCO)